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PATENT
ATTY. DKT. NO.: 20973-15

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tazwell L. Anderson

Serial No.: 09/837,128

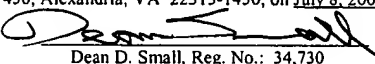
Filed: April 18, 2001

For: ELECTRONIC HANDHELD AUDIO/VIDEO
RECEIVING AND LISTENING/VIEWING
DEVICE

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: Art Unit: 2611
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: Examiner:
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Dean D. Small, Reg. No.: 34,730

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

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Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- ☒ 1. A list of documents on form PTO/SB/08A (Substitute for form 1449/PTO) together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

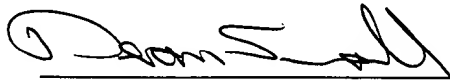
This paper is submitted in accordance with:

- ☐ 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]

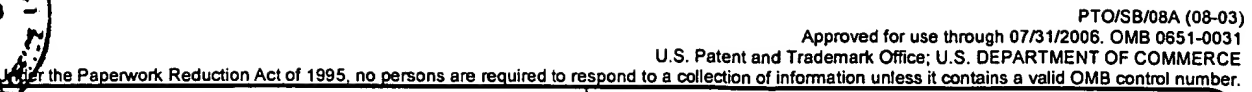
- ☒ 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ a. The required Certification made in item 5 below; or
- ☒ b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
- ☐ 4. 37 CFR 1.97(d): [on or before issue fee payment]; and
- ☐ a. The required Certification is stated in item 5 below; and
- ☐ b. The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
- ☐ 5. Certification
- ☐ a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☒ 6. Payment of all applicable fees:
- ☒ Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 01-2384.
- ☐ Enclosed is a check in the amount of \$_____ in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully Submitted,



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(314) 621-5070

**Complete if Known**

(Use as many sheets as necessary)

of 2

Application Number	09/837,128
Filing Date	April 18, 2001
First Named Inventor	Tazwell L. Anderson
Art Unit	2611
Examiner Name	
Attorney Docket Number	20973-15

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PTO/SB/08B (08-03)

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Complete if Known**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Application Number	09/837,128
Filing Date	April 18, 2001
First Named Inventor	Tazwell L. Anderson
Art Unit	2611
Examiner Name	
Attorney Docket Number	20973-15

Sheet

2

of

2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	BA	PCT International Search Report dated 02/05/2004; In re International Application No. PCT/US03/31696	
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